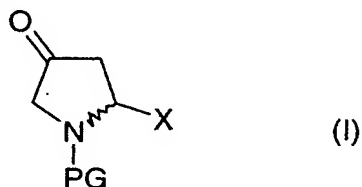
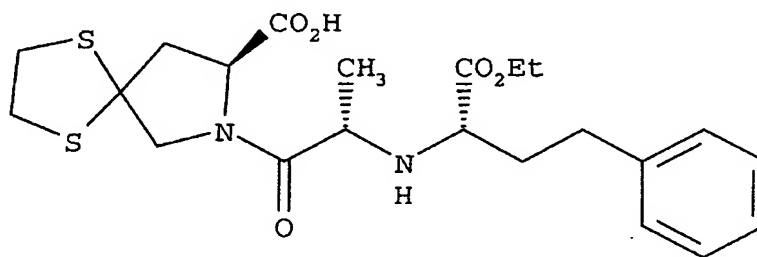


### Process for preparing N-protected 4-ketoproline derivatives

The present invention relates to a process for preparing  
5 ketoproline derivatives of the general formula (I)



N-Protected 4-ketoproline derivatives of the general  
10 formula I are inter alia important starting compounds for  
preparing the ACE (angiotensin converting enzyme)  
inhibitor spirapril[7-(N-(1-(S)-carboethoxy-3-phenyl-  
propyl)-(S)-alanyl)-1,4-dithia-7-azaspiro[4.4]nonan-8-(S)-  
15 carboxylic acid] of the formula II, which is used for  
treating high blood pressure and cardiovascular disorders  
(US-A-4,470,972).



Formula II

20

4-Ketoproline derivatives are unstable compounds. They can  
be obtained and stored only under very specific  
conditions. The instability to bases may be particularly  
emphasized at this point (Patchett, Arthur A.; Witkop,  
25 Bernhard, Journal of the American Chemical Society 1957,  
79, 185-92).

DD-A5 283 626 describes a heavy metal-free process for

preparing (2S)-N-benzyloxycarbonyl-4-ketoproline which  
employs a sulfur trioxide-pyridine complex as oxidizing  
agent. The disadvantage of this process is that the  
pyridine used is a highly environmentally polluting  
5 substance which is highly toxic for humans.

A further heavy metal-free preparation process is  
described in DE 19524339. In this case, a protected  
hydroxyproline ester is oxidized with the tempo/NaOCl  
10 system in a two-phase mixture. A disadvantage of the  
described variant is that free hydroxyproline cannot be  
reacted as acid in this system. Subsequent hydrolysis of  
the 4-ketoproline ester is impossible because of the  
described instability to bases. The method described  
15 herein is therefore unsuitable for preparing the free acid  
of 4-ketoproline.

Processes for synthesizing 4-ketoproline derivatives of  
the general formula I are based inter alia also on the use  
20 of heavy metal-containing oxidizing agents such as, for  
example, various chromium-containing oxidation systems  
(see US-A-4,296,113; see also JOC 2001, 66, 3593;  
JOC 2002, 67, 7162). These processes have the disadvantage  
that they require additional safety measures while the  
25 reaction is carried out, and of an elaborate and costly  
disposal of heavy metal after completion of the reaction.

Narukawa et al. (Tetrahedron, Vol. 53, No. 2, 1997,  
pp.539-556) describe the oxidation of N-protected  
30 4-hydroxyproline in a two-phase mixture of ethyl acetate  
and water using  $\text{RuO}_2/\text{NaIO}_4$ . The yield is reported to be 67%  
of a white powder.

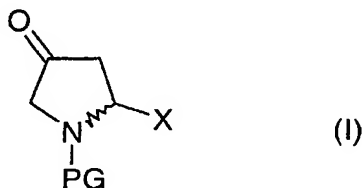
It can be firmly held overall that  $\text{RuO}_4$  represents a very  
35 strong oxidizing agent. It can be used for the oxidative  
degradation even of very stable compounds such as PCBs  
(Beattie, J.K. et al., Pure and Applied Chem. 1990, 62,

1145-6; Creaser C.S. et al., Chemistry & Industry 1988, 15, 499-500).

It was therefore an object of the present invention to indicate a further process for the oxidation of N-protected 4-hydroxyproline derivatives to give the corresponding keto compounds. It was particularly intended that the process be easy to carry out on the industrial scale and be superior to prior art processes with regard to economic and ecological aspects. It was intended to pay particular attention to the fact of a simple process without problems in terms of worker safety.

These objects and others which are not specified in detail but are obvious from the prior art are achieved by a process having the characterizing features of present claim 1. Subclaims dependent on claim 1 relate to preferred embodiments of the process of the invention.

The stated object is achieved in a surprising and, nevertheless, advantageous manner in a process for preparing N-protected 4-ketoproline derivatives of the general formula (I)



25

in which

X is an acid, ester or amide function,

PG is an N-protected group which comprises a carbonyl function and is bonded via this function to the nitrogen, in that this is generated by oxidizing the corresponding 4-hydroxyproline compound with an oxidizing agent in the presence of catalytically active ruthenium compounds in

30

such a way that the oxidation is carried out in an aqueous one-phase system, and the oxidation product (I) is allowed to crystallize out during the addition of the oxidizing agent. As already indicated hereinbefore, compounds of the general formula (I) are unstable compounds able to undergo, especially under alkaline conditions, further transformations (aldol reactions, ring-opening reactions). It has also emerged that the present process functions excellently only if the generated oxidation product (I) crystallizes out where possible immediately after its generation. It thus escapes possible further oxidation in the system. The result is the presence of a very pure reaction product which can be removed from the oxidation system in a simple manner and without complicated extraction processes.

In a preferred embodiment, the present process is carried out at the lowest possible temperatures. It has emerged that it is advantageous to maintain a temperature of  $\leq 30^{\circ}\text{C}$ , in particular  $\leq 20^{\circ}\text{C}$ , preferably  $\leq 15^{\circ}\text{C}$ , during the oxidation.

Oxidizing agents which can be used are also oxidizing agents available to the skilled worker and advantageously employable in the present system. Suitable as such are, in particular, electrochemical or chemical oxidizing agents. Chemical oxidizing agents advantageously employed are hydrogen peroxide or halogen derivatives. Oxidizing agents such as the salts of the hypohalites, halates and perhalates are particularly preferred. Perhalates are very particularly preferred, and of these so-called sodium periodate is extremely preferred.

It is essential in the present process for that resulting oxidation product (I) to be withdrawn after its generation from the system and be protected from further oxidation. This is achieved in the process variant of Narukawa et al.

by operating a two-phase system, with the compound to be oxidized and the product being present in the organic phase, and the oxidation system ( $\text{RuO}_2/\text{NaIO}_4$ ) being present in the aqueous phase. The oxidation consequently takes place only at the interface. In the present case, the oxidation takes place in a one-phase aqueous solvent system. The crystallization out of the oxidation product (I) protects it from further oxidation. It is therefore advantageous for the oxidation product to start to crystallize out as soon as possible after generation. The earlier the onset of crystallization of (I), the fewer further oxidized species produced. These are capable of having an adverse effect on that byproduct spectrum of (I). The procedure for the process on the industrial scale will therefore be to allow the product to crystallize out even during the addition of the oxidizing agent. The skilled worker is familiar with the measures with which he can achieve this. Adjustment of a particular temperature, addition of inorganic or organic substances which have a beneficial influence on the crystallization, and addition of seed crystals, are only some of the available possibilities. In a preferred embodiment of the current process, seed crystals are added after from 30% to 70%, preferably 40% to 60%, particularly preferably 50%, of the oxidizing agent has been added to the reaction mixture. This leads to firstly sufficient oxidized compound (I) being present for the crystallization to be able to start, and secondly insufficient oxidizing agent yet being present for the further oxidation to predominate.

The current process is advantageously carried out in purely aqueous solution. In order to be able to adjust certain solvent properties specifically for the compound to be reacted (acid, ester, amide), it is also possible to add further water-soluble organic solvents to the system as solvents. Suitable solvents of this type are, in particular, those which are inert under the oxidizing

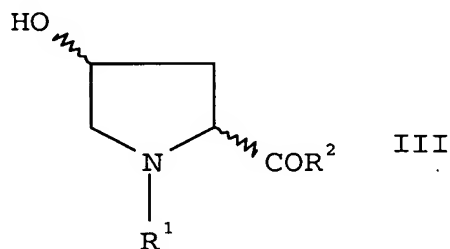
conditions. These are, in particular, THF, dioxane, acetonitrile, sulfolanes and acetic acid, dimethyl carbonate.

5 The procedure for the present process is preferably such that the oxidizing agent, for example sodium (meta)periodate is dissolved in an appropriate amount of water. In parallel, the compound to be oxidized, for example N-protected hydroxyproline, is likewise dissolved  
10 in water. After this solution has cooled, an Ru-containing compound (e.g.  $\text{RuO}_2$  or  $\text{RuCl}_3$ ) is added and, finally, while controlling the temperature the periodate solution is metered into the hydroxyproline solution. A suitable Ru-containing compound is one which reacts further to the  
15 catalytically active Ru species under the editing conditions. These are in particular Ru salts and complexes,  $\text{RuO}_2$  and  $\text{RuCl}_3$  or Ru hydroxides or mixed oxychlorides of ruthenium. After addition of advantageously 50% of the oxidizing agent, for example  
20 seed crystals are added to the system to initiate the crystallization. After the total amount of oxidizing agent has been metered into the editing mixture, and after the reaction is complete, the solid can be filtered off and be employed thus and/or after washing with water and/or after  
25 drying in the subsequent reaction or further purification. The oxidation products (I) can be purified by processes known to the skilled worker. It may be noted that purification for example by recrystallization from organic solvents is feasible. Isopropyl acetate has proved  
30 appropriate in this connection.

Very pure oxidation product (I) is thus obtained in a simple manner and without complicated extraction processes, and has in particular little contamination with  
35 further oxidized species. It may be particularly remarkable that stereocenters present in the molecule are evidently unaffected under the reaction conditions. The

process proceeds in a highly stereoconservative manner. It can be carried out both in batch operation and continuously. Concerning continuous oxidation, reference may be made to DE 1037875. The present process can take  
5 place with similar advantage in a loop reactor described therein. It is consequently possible, surprisingly, despite the instability of the oxidation products (I) to operate in only one phase in aqueous solution. This was not obviously inferable from the prior art and is  
10 particularly advantageous, since the additional extraction step can be omitted in this case.

The starting compounds can be prepared by methods known to the skilled worker (Houben-Weyl, volumes relating to amino  
15 acids). Starting compounds taken as preferred are substances of the general formula (III).



in which

- 20  $R^1 = \text{CO-R}^3$  or fluorenylmethoxycarbonyl,  
 $R^2 = \text{NH}_2, \text{OR}^4,$   
 $R^3 = (\text{H}), (\text{C}_1\text{-C}_8)\text{-alkyl, phenyl, benzyl, benzyloxy, NH}_2,$   
 $\text{NO}_2\text{-phenyloxy, NO}_2\text{-benzyloxy, (C}_1\text{-C}_8)\text{-alkoxy or}$   
 $\text{phenyloxy,}$   
25  $R^4 = \text{H, (C}_1\text{-C}_8)\text{-alkyl, benzyl, phenyl, NO}_2\text{-benzyl,}$   
 $\text{NO}_2\text{-phenyl.}$

(C<sub>1</sub>-C<sub>8</sub>)-Alkyl is to be regarded as being methyl, ethyl, n-propyl, isopropyl, n-butyl, isobutyl, sec-butyl, tert-butyl, pentyl, hexyl, heptyl or octyl including all bond  
30 isomers. This may be substituted one or more times by halogen.

(C<sub>1</sub>-C<sub>8</sub>)-Alkoxy is a (C<sub>1</sub>-C<sub>8</sub>)-alkyl radical linked via an oxygen atom to the molecule considered.

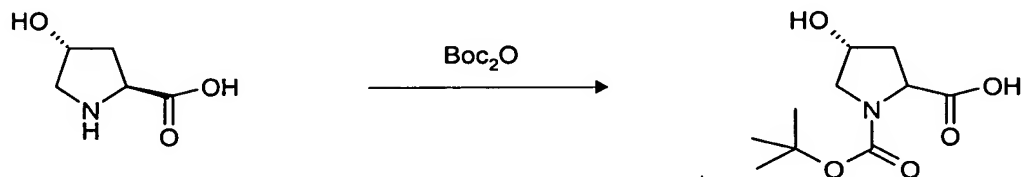
5 Suitable halogens (Hal, halogen atom) are fluorine, chlorine, bromine and iodine. Chlorine and bromine is preferred.

PG means an N-protective group. This can be chosen as  
10 desired as long as it comprises a carbonyl function and is bonded via the latter to the nitrogen. Such groups are familiar to the skilled worker (Greene, T.W, Protective Groups in Organic Synthesis, J. Wiley & Sons, 1981). He understands thereby for the purposes of the invention in  
15 particular a radical selected from the group: formyl, acetyl, propionyl, methoxycarbonyl, ethoxycarbonyl, tert-butoxycarbonyl, Z, Fmoc.

The depicted chemical structures relate to all possible  
20 stereoisomers which can be attained by modifying the configuration of the individual chiral centers, axes or planes, that is to say all possible diastereomers, and all optical isomers (enantiomers - R; S compounds) covered thereby or mixtures thereof.

25

Preparation of N-Boc-L-hydroxyproline



Batch:

30

132 g (1.00 mol) of L-hydroxyproline



700 ml of deionized water

230 g (1.05 mol) of  $\text{Boc}_2\text{O}$

5 300 ml of acetone

L-Hydroxyproline is dissolved in 700 ml of water at 20-25°C. When the hydroxyproline has dissolved, the pH is adjusted from about 5.5 to 10.5 (10-11) with NaOH (50%).  
10 Then, at a temperature of 25-28°C (max. 30°C), the  $\text{Boc}_2\text{O}$  solution in acetone and the sodium hydroxide solution (50%) are simultaneously metered in so that the temperature is kept between 25-28°C (max. 30°C) and the pH at 10.5 (limits 10-11). Duration of addition about 1-2 h.

15 After the metering is complete, the pH is kept further at 10.5 until constant. An easily stirrable suspension results at the end of the reaction. The total consumption of NaOH (50%) was 174 g (2.18 mol).

20 The pH is subsequently adjusted to pH 2.6 with HCl (37%). The temperature is always kept at 25°C; keep it at 25°C by cooling if it rises a little.  $\text{CO}_2$  is liberated during the acidification. The evolution of gas starts at a pH of  
25 about 7.5 ( $\text{CO}_2$ ). Further addition of HCl (37%) should take place sufficiently slowly for the resulting  $\text{CO}_2$  to be driven out to the same extent. Duration about 30 min in the laboratory. The total amount of HCl (37%) used was: 206 g (2.1 mol).

30 When the pH of 2.6 (2.5-2.8) is reached, stirring is continued for 15 min in order to stir out residues of dissolved  $\text{CO}_2$ .

35 Subsequently, 600 ml of MIBK are added, and the mixture is heated to 35-40°C while stirring (15-20 min). It is subsequently allowed to settle, and the phases are

separated.

1st Org. phase: 920 g

- 5 The aqueous phase (about 1 l) is again extracted with 400 ml of MIBK at 35-40°C.

2nd Org. phase: 360 g

- 10 The first organic phase (contains most of the acetone from the reaction) is then distilled under a vacuum down to 200 mbar at a bottom temperature not exceeding 50°C until almost no further distillate is produced. The 2nd org. phase is added to the distillation bottom, and  
15 distillation is continued at 200 mbar.

The vacuum is then slowly lowered further, and distillate is collected.

- 20 When about 600 ml have distilled out, the solution is filtered to remove entrained salt (a few g). It is distilled until an approx. 50% strength solution is produced (approx. 400-450 g). Subsequently, 200 ml of MIBK are added and distilled out until the water content in the  
25 bottom reaches < 0.5 (preferably 0.3%). (Possibly renewed addition of MIBK and distillation).

- When the water content of 0.5 is reached, 100-200 ml of MIBK are added and the mixture is cooled to 40°C. 400 ml  
30 of hexane are slowly added to the solution at 40°C. During the addition or thereafter, seed crystals are added to the mixture and, after crystallization has started, a further 200 ml of hexane are added.

- 35 The suspension is then cooled over the course of 2 h to 15-20 and then with ice/salt further to 0-5°C. And subsequently stirred for 1-2 h. The suspension is filtered

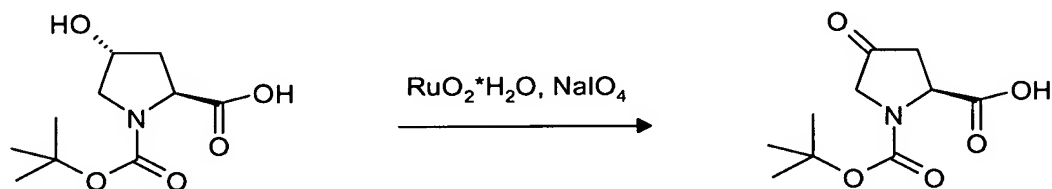
and the solid is washed with hexane (2×) 1 l/kg.

258 g of moist product are obtained (heavy crystals). The solid is then dried in vacuo at a max. temperature of 50°C under 15 mbar. 186 g (80% yield) are obtained.

The final product is checked via HPLC purity (laboratory > 98 area%) and NMR (identity), and loss on drying (< 0.2%). The product should no longer have an odor of MIBK (prior sample from dryer).

Oxidation of N-Boc-L-hydroxyproline to N-Boc-keto-L-proline

15



Batch:

50 g (0.22 mol) of N-Boc-L-hydroxyproline

20

5.5 mg of  $\text{RuO}_2 \cdot \text{H}_2\text{O}$  Aldrich

69 g (0.322 mol) of  $\text{NaIO}_4$  Fluka

25 69 g of sodium (meta)periodate are dissolved in deionized water.

50 g of N-Boc-L-hydroxyproline are dissolved in water. The solution is cooled. Immediately before the reaction, a suspension of 5.5 mg of  $\text{RuO}_2 \cdot \text{H}_2\text{O}$  in is added to this solution. Subsequently, the  $\text{NaIO}_4$  solution is metered in over the course of 1-1.5 h. After metering of 50%, seed

crystals are added. The product starts to precipitate out during the addition or thereafter. The reaction mixture is then stirred with further cooling during the after-reaction. The resulting solid is filtered off and washed  
5 with 1-2 kg of water/kg of moist product.

38 g of moist product were obtained. These were dried in a water bath at 40°C (15 mbar) for 3 h. 21 g of dry product were obtained (the crude product is not to be dried in the  
10 pilot plant.)

The moist product should be stored in the cool before reprocessing.

15 (Extrapolated to total amount from 20.8 g):

21 g of the dry crude product are suspended in isopropyl acetate (250 ml) and water (55 ml). The product is dissolved by stirring and heating. Then, 1 g of activated  
20 carbon (PWA) is added to the two phase mixture, and the mixture is stirred at 35°C for 30 min. It is subsequently filtered through Celite, and the aqueous phase is removed. The organic phase is distilled in vacuo under 150-170 mbar at a bath temperature of 40-45°C. The product starts to  
25 precipitate out even during the distillation. It is distilled until the amount in the bottom is 80 g (about 25 g%). The resulting suspension (heavy crystals) is then cooled to 0°C over the course of about 2 h, filtered and washed 2 x with 20 ml of cold isopropyl acetate each time.  
30 28 g of moist product were obtained and were dried in a water bath at 45°C/15 mbar. 17.0 g of dry product were obtained.

Analysis of the final product:

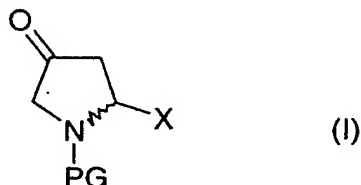
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Identity: 1H-NMR

Purity:	HPLC (> 99.5 area%)
Optical rotation:	C = 1 in acetone 20°C,
5 Sulfated ash:	< 0.2%

Claims:

1. A process for preparing N-protected 4-ketoproline derivatives of the general formula (I)



in which

X is an acid, ester or amide function,

10 PG is an N-protective group which comprises a carbonyl function and is bonded via this function to the nitrogen,

15 by oxidizing the corresponding 4-hydroxyproline compound with an oxidizing agent in the presence of catalytically active ruthenium compounds, characterized in that the oxidation is carried out in an aqueous one-phase system, and the oxidation product (I) is allowed to crystallize out during the addition of the oxidizing agent.

20

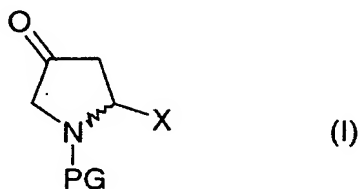
2. The process as claimed in claim 1, characterized in that the temperature during the oxidation is kept at  $\leq 30^{\circ}\text{C}$ , in particular  $\leq 20^{\circ}\text{C}$ , preferably  $\leq 15^{\circ}\text{C}$ .

- 25 3. The process as claimed in one or more of the preceding claims, characterized in that salts of hypohalites, halates and perhalates are employed as oxidizing agents.

- 30 4. The process as claimed in one or more of the preceding claims, characterized in that seed crystals are added to the reaction mixture after addition of 50% of the oxidizing agent.

Abstract:

The present invention is concerned with a process for preparing compounds of the general formula (I).



These compounds can preferably be used to prepare bioactive agents.

The reaction of the invention starts from the corresponding hydroxy compounds which are oxidized, with Ru catalysis, in a one-phase aqueous system.